

Client Investment Profile

Taylor Collison's Advisers are required under s945 Corporations Act 2001 to make enquires as to the level of service you require as well as your personal circumstances to enable your Adviser to give the appropriate level of advice. These rules mean that before giving personal advice Taylor Collison must examine details such as your current financial situation, your personal and financial objectives, as well as your attitude to risk. Accordingly we appreciate you assisting us in completing this Client Profile Form entirely and accurately. The information sought in this document will be regarded by us as strictly confidential. It will be used by your Adviser to ensure that any advice we provide is tailored to your specific needs and objectives.

Please note:

- If this form is not completed we can only provide you with "general" advice, which is advice that does not address your individual needs.
- Where incomplete information is provided, any personal advice will be considered limited personal advice.
- As Taylor Collison only facilitates trading in Market Securities, any personal advice is considered scaled personal advice.
- Taylor Collison accepts no liability for any advice given on the basis of inaccurate or incomplete information.

Please indicate the service you are seeking;

☐ Execution only / nil advice ☐ General Advice ☐ Personal Advice (You must complete form below).

Declaration

☐ I DO NOT WISH TO PROVIDE MY PERSONAL INFORMATION. I acknowledge that any advice or recommendations I receive is on a general advice basis only.

Alternatively please complete the information below:

Past Investment Experience	Extensive	Moderate	Limited	None
Fixed interest	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Property trusts	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Managed funds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Australian equities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
International equities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Warrants/options	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Margin lending	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Investment Objectives

Which of the following do you consider to be the most relevant to your needs and objectives?

☐ Income ☐ Growth ☐ Balanced (Income & Growth) ☐ Trading ☐ Speculative
☐ Capital Security/ Preservation ☐ Aggressive Growth ☐ Other (please specify)

Investment Time Horizons

☐ Less than 18 months ☐ 18 months - 3 years ☐ 3 - 5 years ☐ 5 - 7 years ☐ More than 7 years

Risk Profile

Whether investing or trading, there is a risk of losing some or all of your investment. The more aggressive, active (i.e. frequency of transactions) and growth oriented your investment strategy, the greater the risk. Diversification does reduce the risk of loss from any particular investment holding. The level of risk you are prepared to accept reflects your investment objectives. Accordingly, personal advice (if provided) would be focused on financial products and portfolio weighting which have attributes up to the level indicated by you.

Please indicate the level of risk you are prepared to accept.

- ☐ **Conservative / Low risk**
- Preservation of the capital invested. While there can never be a guarantee of no losses, by diversification and adopting a sound strategy over the long term, the risk of losses is minimized.
- ☐ **Moderately conservative**
- Stable income stream. Modest growth on the capital invested. Medium to long term capital security.
- ☐ **Balanced/Medium Risk**
- Moderate growth on the capital invested. Moderate income stream. Moderate level of capital volatility.
- ☐ **Moderately aggressive**
- High level of growth on the capital invested. Modest level of income stream. High volatility, accepts that shares which are likely to provide capital growth can also result in capital losses.
- ☐ **Aggressive/High Risk**
- High level of growth on the capital invested. Income is incidental. High level of volatility, accepts higher risk of negative returns in search of high returns.

Ultimate Use for Portfolio

- ☐ Fund retirement
- ☐ Long term preservation of assets
- ☐ Comprise an estate for the benefit of my dependants'
- ☐ Educate my dependants or fund another special need for them
- ☐ Fund the acquisition of a house or other large capital asset
- ☐ Invest in a business
- ☐ Be an emergency source of funds
- ☐ Supplement my income
- ☐ Some other purpose

Investment Return Preference

- ☐ Income for high yielding
- ☐ Income and capital gain
- ☐ Capital gain
- ☐ Trading

Financial Situation

Current Gross Annual Income

- ☐ \$0 - \$6,000
- ☐ \$6,001 - \$35,000
- ☐ \$35,001 - \$80,000
- ☐ \$80,001 - \$180,000
- ☐ \$180,001 +

Primary source of income

- ☐ Business earnings
- ☐ Salary
- ☐ Investments
- ☐ Retirement Assets
- ☐ Pension

Current reliance on investment income

- ☐ Entirely
- ☐ Substantially
- ☐ Partially
- ☐ Not at all

Current Financial Position

Please indicate your current INVESTMENT portfolio. If you have no current investments, please write '0' for Total Assets.

Investment Portfolio	\$ Amount		\$ Amount
Assets		Liabilities	
Superannuation	\$ _____	Home Mortgage	\$ _____
Property (excluding principal residence)	\$ _____	Margin Lending Loans	\$ _____
Shares (listed and unlisted)	\$ _____	Other Borrowings	\$ _____
Fixed Interest	\$ _____	Other Liabilities	\$ _____
Managed Funds	\$ _____	Total Liabilities	\$ _____
Cash at Bank	\$ _____		
Residential Property	\$ _____		
International Shares	\$ _____		
Other	\$ _____		
Total Assets	\$ _____		
NET ASSETS	\$ _____		
% of portfolio that is geared _____ %			