

## Client Investment Profile – Personal Advice

Client Account: ..... Client Name: .....

Taylor Collison Advisers are obligated to obtain information relating to your personal circumstances. By providing information relating to your current financial situation, your personal and financial objectives, as well as your attitude to risk, Advisers are able to determine the appropriate level of advice and service required.

Accordingly, we appreciate you assisting us in completing this Client Profile Form entirely and accurately. The information sought in this document will be regarded by us as strictly confidential. It will be used by your Adviser to ensure that any advice we provide is tailored to your specific needs and objectives.

Taylor Collison only facilitates trading in Market Securities, so any personal advice is considered scaled personal advice. Taylor Collison accepts no liability for any advice given on the basis of inaccurate or incomplete information and hence asks you to regularly update this information.

**If this form is not completed we can only provide you with an execution only service.**

**Please complete the information below:**

Past Investment Experience	Extensive	Moderate	Limited	None
Fixed interest	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Property trusts	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Managed funds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Australian equities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
International equities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Warrants/options	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Margin lending	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Investment Objectives

Which of the following do you consider to be the most relevant to your needs and objectives?

- Income   
  Growth   
  Balanced (Income & Growth)   
  Trading   
  Speculative  
 Capital Security/ Preservation   
  Aggressive Growth   
  Other (please specify) .....

### Investment Time Horizons

- Less than 18 months   
  18 months - 3 years   
  3 - 5 years   
  5 - 7 years   
  More than 7 years

### Risk Profile

Whether investing or trading, there is a risk of losing some or all of your investment. The more aggressive, active (i.e. frequency of transactions) and growth oriented your investment strategy, the greater the risk. Diversification does reduce the risk of loss from any particular investment holding. The level of risk you are prepared to accept reflects your investment objectives. Accordingly, personal advice (if provided) would be focused on financial products and portfolio weighting which have attributes up to the level indicated by you.

Please indicate the level of risk you are prepared to accept.

- Conservative / Low risk**      Preservation of the capital invested. While there can never be a guarantee of no losses, by diversification and adopting a sound strategy over the long term, the risk of losses is minimized.
- Moderately conservative**      Stable income stream. Modest growth on the capital invested. Medium to long term capital security.
- Balanced/Medium Risk**      Moderate growth on the capital invested. Moderate income stream. Moderate level of capital volatility.
- Moderately aggressive**      High level of growth on the capital invested. Modest level of income stream. High volatility, accepts that shares which are likely to provide capital growth can also result in capital losses.
- Aggressive/High Risk**      High level of growth on the capital invested. Income is incidental. High level of volatility, accepts higher risk of negative returns in search of high returns.

**Ultimate Use for Portfolio Investment Return Preference**

- Fund retirement
- Comprise an estate for the benefit of my dependants'
- Fund the acquisition of a house or other large capital asset
- Be an emergency source of funds
- Some other purpose
- Long term preservation of assets
- Educate my dependants or fund another special need for them
- Invest in a business
- Supplement my income

**Investment Return Preference**

- Income for high yielding
- Capital gain
- Income and capital gain
- Trading

**Financial Situation**

Current Gross Annual Income

- \$0 - \$6,000
- \$6,001 - \$35,000
- \$35,001 - \$80,000
- \$80,001 - \$180,000
- \$180,001 +

**Primary source of income**

- Business earnings
- Salary
- Investments
- Retirement Assets
- Pension

**Current reliance on investment income**

- Entirely
- Substantially
- Partially
- Not at all

**Current Financial Position**

Please indicate your current INVESTMENT portfolio. If you have no current investments, please write '0' for Total Assets.

<b>Investment Portfolio</b>	<b>\$ Amount</b>	<b>Liabilities</b>	<b>\$ Amount</b>
<b>Assets</b>			
Superannuation	\$ _____	Home Mortgage	\$ _____
Residential Property	\$ _____	Margin Lending Loans	\$ _____
Shares (listed and unlisted)	\$ _____	Other Borrowings	\$ _____
Fixed Interest	\$ _____	Other Liabilities	\$ _____
Managed Funds	\$ _____	<b>Total Liabilities</b>	\$ _____
Cash at Bank	\$ _____		
Investment Property	\$ _____		
International Shares	\$ _____		
Other	\$ _____		
<b>Total Assets</b>	\$ _____		
<b>NET ASSETS</b>	<b>\$ _____</b>		

% of portfolio that is geared \_\_\_\_\_ %

**Further Information / Notes:**

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Client Signature(s) \_\_\_\_\_ Date \_\_\_\_\_